# IRREDUCIBILITY OF q-DIFFERENCE OPERATORS AND THE KNOT $7_4$

### STAVROS GAROUFALIDIS AND CHRISTOPH KOUTSCHAN

ABSTRACT. Our goal is to compute the minimal-order recurrence of the colored Jones polynomial of the  $7_4$  knot, as well as for the first four double twist knots. As a corollary, we verify the AJ Conjecture for the simplest knot  $7_4$  with reducible non-abelian  $SL(2,\mathbb{C})$  character variety. To achieve our goal, we use symbolic summation techniques of Zeilberger's holonomic systems approach and an irreducibility criterion for q-difference operators. For the latter we use an improved version of the qHyper algorithm of Abramov-Paule-Petkovšek to show that a given q-difference operator has no linear right factors. Finally, we introduce Adams operations on the ring of bivariate polynomials and on the corresponding affine curves.

### Contents

1. Introduction	2
1.1. Notation	2
1.2. The colored Jones polynomial of a knot and its recurrence	2
1.3. Minimal-order recurrences	3
1.4. The non-commutative $A$ -polynomial of the $7_4$ knot	3
2. The colored Jones polynomial of double twist knots	4
3. Computing a recurrence for the colored Jones polynomial of $7_4$	6
4. Irreducibility of q-difference operators	6
4.1. An easy sufficient criterion for irreducibility	7
4.2. Adams operations on W-modules	8
5. Plethysm	9
6. Factorization of q-difference operators after Bronstein-Petkovšek	10
7. Irreducibility of the computed recurrence for $7_4$	11
8. No recurrence of order zero	14
9. Proof of Theorem 1.2	14
10. Extension to double twist knots	15
10.1. The A-polynomial of double twist knots	15
10.2. The non-commutative A-polynomial of double twist knots	16

Date: November 20, 2012.

S.G. was supported in part by grant DMS-0805078 of the US National Science Foundation.

<sup>2010</sup> Mathematics Subject Classification: Primary 57N10. Secondary 57M25, 33F10, 39A13. Key words and phrases: q-holonomic module, q-holonomic sequence, creative telescoping, irreducibility of q-difference operators, factorization of q-difference operators, qHyper, Adams operations, quantum topology, knot theory, colored Jones polynomial, AJ conjecture, double twist knot, 74.

Acknowledgm	ent	16
Appendix A.	The formula for the non-commutative $A$ -polynomial of $7_4$	16
References		18

#### 1. Introduction

- 1.1. **Notation.** Throughout the paper the symbol  $\mathbb{K}$  denotes a field of characteristic zero; for most applications one may think of  $\mathbb{K} = \mathbb{Q}$ . We write  $\mathbb{K}[X_1, \ldots, X_n]$  for the ring of polynomials in the variables  $X_1, \ldots, X_n$  with coefficients in  $\mathbb{K}$ , and similarly  $\mathbb{K}[X_1^{\pm 1}, \ldots, X_n^{\pm 1}]$  for the ring of Laurent polynomials, and  $\mathbb{K}(X_1, \ldots, X_n)$  for the field of rational functions. In a somewhat sloppy way we use angle brackets, e.g.,  $\mathbb{K}\langle X_1, \ldots, X_n \rangle$ , to refer to the ring of polynomials in  $X_1, \ldots, X_n$  with some non-commutative multiplication. This non-commutativity may occur between variables  $X_i$  and  $X_j$ , or between the coefficients in  $\mathbb{K}$  and the variables  $X_i$ . It will be always clear from the context which commutation rules apply. Let  $p(X, Y_1, \ldots, Y_n) = \sum_{k=a}^b p_k(Y_1, \ldots, Y_n) X^k$ ,  $a, b \in \mathbb{Z}$ , be a nonzero Laurent polynomial with  $p_a \neq 0$  and  $p_b \neq 0$ ; then we define  $\deg_X(p) := b$  and  $\deg_X(p) := a$ . As usual,  $\lfloor a \rfloor$  (resp.  $\lceil a \rceil$ ) denotes the largest integer  $\leq a$  (resp. smallest integer  $\geq a$ ).
- 1.2. The colored Jones polynomial of a knot and its recurrence. The colored Jones function  $J_{K,n}(q) \in \mathbb{Z}[q^{\pm 1}]$  of a knot K in 3-space for  $n \in \mathbb{N}$  is a powerful knot invariant which satisfies a linear recurrence (i.e., a linear recursion relation) with coefficients that are polynomials in q and  $q^n$  [GL05]. The non-commutative A-polynomial  $A_K(q, M, L)$  of K is defined to be the (homogeneous and content-free) such recurrence for  $J_{K,n}(q)$  that has minimal order. By definition, the non-commutative A-polynomial of K is an element of the localized q-Weyl algebra

$$W = K(q, M)\langle L \rangle / (LM - qML)$$

where  $\mathbb{K} = \mathbb{Q}$  and the symbols L and M denote operators which act on a sequence  $f_n(q)$  by

$$(Lf)_n(q) = f_{n+1}(q), \qquad (Mf)_n(q) = q^n f_n(q).$$

The non-commutative A-polynomial of a knot allows one to compute the Kashaev invariant of a knot in linear time, and to confirm numerically the Volume Conjecture of Kashaev, the Generalized Volume Conjecture of Gukov and Garoufalidis-Le, the Modularity Conjecture of Zagier, the Slope Conjecture of Garoufalidis and the Stability Conjecture of Garoufalidis-Le. For a discussion of the above conjectures and for a survey of computations, see [Gar11c]. This explains the importance of exact formulas for the non-commutative A-polynomial of a knot.

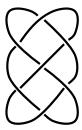
In [Gar04] (see also [Gel02]) the first author formulated the AJ conjecture which relates the specialization  $A_K(1, M, L)$  with the A-polynomial  $A_K(M, L)$  of K. The latter parametrizes the affine variety of  $SL(2, \mathbb{C})$  representations of the knot complement, viewed from the boundary torus [CCG<sup>+</sup>94].

So far, the AJ conjecture has been verified only for knots whose A-polynomial consists of a single multiplicity-free component (aside from the component of abelian representations) [Lê06, LT11]. For the remaining knots, and especially for the hyperbolic knots, one does not know whether the non-commutative A-polynomial detects

- (a) all non-geometric components of the  $SL(2, \mathbb{C})$  character variety,
- (b) their multiplicities.

Our goal is to compute the non-commutative A-polynomial of the simplest knot whose A-polynomial has two irreducible components of non-abelian  $\mathrm{SL}(2,\mathbb{C})$  representations (see Theorem 1.2), as well as recurrences for the colored Jones polynomials of the first four double twist knots. En route, we will introduce Adams operations on  $\mathbb{W}$  which will allow us to define Adams operations of the ring  $\mathbb{Q}[M,L]$  of A-polynomials and their non-commutative counterparts.

- 1.3. **Minimal-order recurrences.** We split the problem of determining a minimal-order recurrence for a given sequence into two independent parts:
  - (a) Compute a recurrence: if the sequence is defined by a multidimensional sum of a proper q-hypergeometric term (as it is the case for the colored Jones polynomial), numerous algorithms can produce a linear recurrence with polynomial coefficients; see for instance [PWZ96]. Different algorithms in general produce different recurrences, which may not be of minimal order [PR97a].
  - (b) Show that the recurrence produced in (a) has in fact minimal order: this can be achieved by proving that the corresponding operator is irreducible in  $\mathbb{W}$ . Criteria for certifying the irreducibility of a q-difference operator are presented in Section 4.
- 1.4. The non-commutative A-polynomial of the  $7_4$  knot. To illustrate our ideas concretely, rigorously and effectively, we focus on the simplest knot with reducible A-polynomial, namely the  $7_4$  knot in Rolfsen's notation [Rol90]:



 $7_4$  is a 2-bridge knot K(11/15), and a double-twist knot obtained by (-1/2, -1/2) surgery on the Borromean rings. Its A-polynomial can be computed with the Mathematica implementation by Hoste or with the Maxima implementation by Huynh, see also Petersen [Pet11], and it is given by

$$\begin{split} A_{7_4}(M,L) &= (L^2 M^8 - L M^8 + L M^6 + 2 L M^4 + L M^2 - L + 1)^2 \\ &\times (L^3 M^{14} - 2 L^2 M^{14} + L M^{14} + 6 L^2 M^{12} - 2 L M^{12} + 2 L^2 M^{10} + 3 L M^{10} - 7 L^2 M^8 \\ &\quad + 2 L M^8 + 2 L^2 M^6 - 7 L M^6 + 3 L^2 M^4 + 2 L M^4 - 2 L^2 M^2 + 6 L M^2 + L^2 - 2 L + 1). \end{split}$$

The first factor of  $A_{7_4}(M, L)$  has multiplicity 2 and corresponds to a non-geometric component of the  $SL(2, \mathbb{C})$  character variety of  $7_4$ . The second factor of  $A_{7_4}(M, L)$  has multiplicity one and corresponds to the geometric component of the  $SL(2, \mathbb{C})$  character variety of  $7_4$ . Let  $A_{7_4}^{\text{red}}$  denote the squarefree part of the above polynomial (i.e., where the second power of

the first factor is replaced by the first power). Finally, let  $A^{\text{red}}_{-7_4}(M, L) = A^{\text{red}}_{7_4}(M, L^{-1})L^5 \in \mathbb{Z}[M, L]$  denote the reduced A-polynomial of  $-7_4$ , the mirror of  $7_4$ .

**Definition 1.1.** We say that an operator  $P \in \mathbb{K}(q)\langle M^{\pm 1}, L^{\pm 1}\rangle/(LM - qML)$  is palindromic if and only if there exist integers  $a, b \in \mathbb{Z}$  such that

(1) 
$$P(q, M, L) = (-1)^a q^{bm/2} M^m L^b P(q, M^{-1}, L^{-1}) L^{\ell-b}$$

where  $m = \deg_M(P) + \deg_M(P)$  and  $\ell = \deg_L(P) + \deg_L(P)$ . An operator in  $\mathbb{W}$  is called palindromic if, after clearing denominators, it is palindromic in the above sense.

If  $P = \sum_{i,j} p_{i,j} M^i L^j$  then condition (1) implies that  $p_{i,j} = (-1)^a q^{b(i-m/2)} p_{m-i,\ell-j}$  for all  $i,j \in \mathbb{Z}$ . Note also that palindromic operators give rise to (skew-) symmetric solutions (if doubly-infinite sequences  $(f_n)_{n \in \mathbb{Z}}$  are considered). More precisely, the equation Pf = 0 for palindromic P admits nontrivial symmetric (i.e.,  $f_{\lceil r+n \rceil} = f_{\lfloor r-n \rfloor}$  for all n) and skew-symmetric (i.e.,  $f_{\lceil r+n \rceil} = -f_{\lfloor r-n \rfloor}$  for all n) solutions, where  $r = (\ell - b)/2$  is the reflection point.

The next theorem gives the non-commutative A-polynomial of  $7_4$  in its inhomogeneous form. Every inhomogeneous recurrence Pf = b gives rise to a homogeneous one (L - 1)(1/b)f = 0.

**Theorem 1.2.** The inhomogeneous non-commutative A-polynomial of  $7_4$  is given by the equation

$$(2) P_{7_4} J_{7_4,n}(q) = b_{7_4}$$

with  $b_{7_4} \in \mathbb{Q}(q, q^n)$  and  $P_{7_4} \in \mathbb{W}$  being a palindromic operator of (q, M, L)-degree (65, 24, 5); both are given explicitly in Appendix A.

The proof of Theorem 1.2 consists of three parts:

- 1. Compute the inhomogeneous recurrence (2) for the colored Jones function  $J_{7_4,n}(q)$  using the iterated double sum formula for the colored Jones function (Equation (3)) and rigorous computer algebra algorithms (see Section 3).
- 2. Prove that the operator  $P_{7_4}$  has no right factors of positive order (see Section 4). To this end, we discuss some natural W-modules associated to a knot, given by the exterior algebra operations.
- 3. Show that  $J_{7_4,n}(q)$  does not satisfy a zero-order inhomogeneous recurrence, by using the degree of the colored Jones function (see Section 8).

Corollary 1.3. The AJ conjecture holds for the knot  $7_4$ :

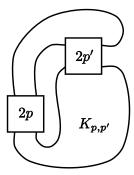
$$P_{7_4}(1, M, L) = A_{-7_4}^{\text{red}}(M^{1/2}, L)(M-1)^5(M+1)^4(2M^4 - 5M^3 + 8M^2 - 5M + 2).$$

*Proof.* This follows from Theorem 1.2 by setting q = 1.

## 2. The colored Jones Polynomial of double twist knots

Let  $J_{K,n}(q)$  denote the colored Jones polynomial of the 0-framed knot K, colored by the n-dimensional irreducible representation of  $\mathfrak{sl}_2(\mathbb{C})$  and normalized to be 1 at the unknot [Tur88,

Tur94, Jan96]. The double twist knot  $K_{p,p'}$  depicted below is given by (-1/p, -1/p') surgery on the Borromean rings for integers p, p',



where the boxes indicate half-twists as follows

Using the Habiro theory of the colored Jones function, (see [Lau10, Sec.6] following [Mas03] and [Hab08]) it follows that

(3) 
$$J_{K_{p,p'},n}(q) = \sum_{k=0}^{n-1} (-1)^k c_{p,k}(q) c_{p',k}(q) q^{-kn - \frac{k(k+3)}{2}} (q^{n-1}; q^{-1})_k (q^{n+1}; q)_k$$

where  $(x;q)_n$  denotes, as usual, the q-Pochhammer symbol defined as  $\prod_{j=0}^{n-1} (1-xq^j)$  and

(4) 
$$c_{p,n}(q) = \sum_{k=0}^{n} (-1)^{k+n} q^{-\frac{k}{2} + \frac{k^2}{2} + \frac{3n}{2} + \frac{n^2}{2} + kp + k^2 p} \frac{(1 - q^{2k+1})(q;q)_n}{(q;q)_{n-k}(q;q)_{n+k+1}}$$

Keep in mind that the above definition of  $c_{p,n}$  differs by a power of q from the one given in [Mas03, Thm.3.2]. With our definition, we have  $c_{-1,n}(q) = 1$  and  $c_{1,n}(q) = (-1)^n q^{n(n+3)/2}$ . In [GS06] it was shown that for each integer p, the sequence  $c_{p,n}(q)$  satisfies a monic recurrence of order |p| with initial conditions  $c_{p,n}(q) = 0$  for n < 0 and  $c_{p,0}(q) = 1$ . In particular, for p = 2 we have:

$$c_{2,n+2}(q) + q^{n+3}(1+q-q^{n+2}+q^{2n+4})c_{2,n+1}(q) + q^{2n+6}(1-q^{n+1})c_{2,n}(q) = 0.$$

Now,  $K_{2,2} = 7_4$ . The first few values of the colored Jones polynomial  $f_n(q) := J_{7_4,n}(q)$  are given by

$$f_1(q) = 1$$

$$f_2(q) = q - 2q^2 + 3q^3 - 2q^4 + 3q^5 - 2q^6 + q^7 - q^8$$

$$f_3(q) = q^2 - 2q^3 + q^4 + 4q^5 - 6q^6 + 2q^7 + 6q^8 - 9q^9 + 3q^{10} + 7q^{11} - 8q^{12} + q^{13} + 7q^{14} - 7q^{15} - q^{16} + 5q^{17} - 4q^{18} - q^{19} + 3q^{20} - q^{21} - q^{22} + q^{23}$$

$$f_4(q) = q^3 - 2q^4 + q^5 + 2q^6 - 4q^8 + q^9 + 6q^{10} - 2q^{11} - 8q^{12} + 5q^{13} + 9q^{14} - 4q^{15} - 13q^{16} + 7q^{17} + 11q^{18} - 3q^{19} - 15q^{20} + 6q^{21} + 11q^{22} - q^{23} - 13q^{24} + q^{25} + 10q^{26} + 2q^{27} - 11q^{28} - 3q^{29} + 9q^{30} + 3q^{31} - 7q^{32} - 5q^{33} + 7q^{34} + 4q^{35} - 3q^{36} - 5q^{37} + 3q^{38} + 3q^{39} - 3q^{41} + q^{43} + q^{44} - q^{45}$$

The above data agrees with the KnotAtlas [BN05].

## 3. Computing a recurrence for the colored Jones polynomial of $7_4$

We employ the definition of  $J_{K_{p,p'},n}(q)$  given in (3) and (4) in terms of definite sums to compute a recurrence for the colored Jones polynomial of  $7_4 = K_{2,2}$ . Thanks to Zeilberger's holonomic systems approach [Zei90b] this task can be executed in a completely automatic fashion, e.g., using the algorithms implemented in the Mathematica package HolonomicFunctions [Kou10], see [Kou09] for more details. The summation problem in (4) can be tackled by a q-analogue of Zeilberger's fast summation algorithm [Zei90a, Zei91, WZ92, PR97b] since the summand is a proper q-hypergeometric term.

As it was mentioned above, the sequence  $c_{p,n}(q)$  satisfies a recurrence of order |p| and therefore the summand of (3) is not q-hypergeometric in general. Hence we apply Chyzak's generalization [Chy00] of Zeilberger's algorithm to derive a recurrence for  $J_{74,n}(q)$ .

Both algorithms are based on the concept of *creative telescoping* [Zei91], see [Kou09] for an introduction and [GS10] for an earlier application to the computation of non-commutative A-polynomials. Let  $f_{n,k}(q)$  denote the summand of (3). Chyzak's algorithm computes the equation

$$P_{7_4}(f_{n,k}) = c_d(q,q^n)f_{n+d,k} + \dots + c_0(q,q^n)f_{n,k} = g_{n,k+1} - g_{n,k}$$

where  $g_{n,k}$  is a  $\mathbb{K}(q, q^k, q^n)$ -linear combination of certain shifts of f (e.g.,  $f_{n,k}$ ,  $f_{n+1,k}$ ,  $f_{n,k+1}$ , etc.). Now creative telescoping is executed by summing this equation with respect to k. It follows that  $P_{7_4}(J_{7_4,n}) = g_{n,n} - g_{n,0} = b_{7_4}$ .

The summation problems (3) and (4) for p = p' = 2 are of moderate size: our software HolonomicFunctions computes the solution in less than 2 minutes. The result is given in Appendix A.

# 4. Irreducibility of q-difference operators

An element  $P \in \mathbb{W}$  is *irreducible* if it cannot be written in the form P = QR with  $Q, R \in \mathbb{W}$  of positive L-degree. Since there is a (left and right) division algorithm in  $\mathbb{W}$ , it follows that every element P is a finite product of irreducible elements. However, it can happen that P can be factored in different ways, but any two factorizations of P into irreducible elements are related in a specific way; see [Ore33].

A factorization algorithm for elements of the localized Weyl algebra  $\mathbb{K}(x)\langle\partial\rangle$  where  $\partial x - x\partial = 1$  has been discussed by several authors that include [Sch89, Tsa96, Bro96] and also [vH97, Sec.8] and [vdPS03]; the factorization of more general operators (including differential, difference, and q-difference) has been investigated in [BP96]. Roughly, a factorization algorithm for  $P \in \mathbb{K}(x)\langle\partial\rangle$  of degree d (as a linear differential operator) proceeds as follows: if P = QR where R is of degree k, then the coefficients of R can be computed by finding the right factors of degree 1 of the associated equation obtained by the k-th exterior power of P. The problem of finding linear right factors can be solved algorithmically.

For our purposes we do not require a full factorization algorithm, but only criteria for certifying the irreducibility of q-difference operators. Consider  $P(q, M, L) \in \mathbb{W}$  and assume that the leading coefficient of P does not vanish when specialized to q = 1. The following is an algorithm for certifying irreducibility of P:

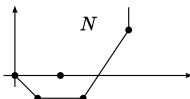
- 1. If  $P(1, M, L) \in \mathbb{K}(M)[L]$  is irreducible, then P is irreducible (see Section 4.1).
- 2. If not, factor P(1, M, L) into irreducible factors  $P_1 \cdots P_n$ .
- 3. For each  $k = \sum_{i \in I} \deg_L(P_i)$  such that  $I \subseteq \{1, \ldots, n\}$  compute the exterior power  $\bigwedge^k P \in \mathbb{W}$  (see Section 4.2).
- 4. Apply the algorithm qHyper (e.g., in its improved version described in Section 7), to show that none of the computed exterior powers has a linear right factor. Then P is irreducible.
- 4.1. An easy sufficient criterion for irreducibility. In this section we mention an easy irreducibility criterion in  $\mathbb{W}$ , which is sufficient but not necessary, as we shall see. This criterion has been used in [GS10] to compute the non-commutative A-polynomial of twist knots, and also in [Lê06, LT11] to prove the AJ conjecture in some cases.

To formulate the criterion, we will use the Newton polygon at q=1, in analogy with the Newton polygon at q=0 studied in [Gar11a]. Expanding a rational function  $a(q,M) \in \mathbb{K}(q,M)$  into a formal Laurent series in q-1, let  $v(a(q,M)) \in \mathbb{Z} \cup \{\infty\}$  denote the lowest power of q-1 which has nonzero coefficient. It can be easily verified that v is a valuation, i.e., it satisfies

$$v(ab) = v(a) + v(b), \qquad v(a+b) \ge \min(v(a), v(b)).$$

**Definition 4.1.** For an operator  $P(q, M, L) = \sum_{j=0}^{d} a_j(q, M) L^j \in \mathbb{W}$  the Newton polygon N(P) is defined to be the lower convex hull of the set  $\{(j, v(a_j)) \mid j = 0, \dots, d\}$ . Furthermore, let  $N^e(P)$  denote the union of the (non-vertical) boundary line segments of N(P).

For instance, if  $P = (q-1)^2 L^5 + ((q-1)(Mq-1))^{-1} L^3 + L^2 + (q-1)^{-1} L + 1$ , then N(P) is given by



and  $N^e(P)$  is the path of straight line segments connecting the points (0,0), (1,-1), (3,-1), and (5,2). The next lemma is elementary. Recall the *Minkowski sum* of two polytopes from [Zie95].

**Lemma 4.2.** If  $Q, R \in \mathbb{W}$ , then N(QR) = N(Q) + N(R) where + denotes the Minkowski sum.

**Proposition 4.3.** Let  $P(q, M, L) = \sum_{j=0}^{d} a_j(q, M) L^j \in \mathbb{W}$  with d > 1 and assume that  $P(1, M, L) \in \mathbb{K}(M)[L]$  is well-defined and irreducible with  $a_0(1, M) a_d(1, M) \neq 0$ . Then P(q, M, L) is irreducible in  $\mathbb{W}$ .

Proof. The assumptions imply that  $N^e(P)$  is the horizontal line segment from the origin to  $(\deg_L(P), 0)$ . If P = QR with  $\deg_L(Q) \deg_L(R) \neq 0$ , then Lemma 4.2 implies that both  $N^e(Q)$  and  $N^e(R)$  consist of a single horizontal segment as well. Without loss of generality, assume that the leading coefficient of Q has valuation zero; if not we can multiply Q by  $(1-q)^a$  and R by  $(1-q)^{-a}$  for an appropriate integer a. Then, it follows that

 $N^e(Q) = [0, \deg_L(Q)] \times 0$  and  $N^e(R) = [0, \deg_L(R)] \times 0$ . Evaluating at q = 1, it follows that  $Q(1, M, L), R(1, M, L) \in \mathbb{K}(M)[L]$  are well-defined and P(1, M, L) = Q(1, M, L)R(1, M, L) where Q(1, M, L) and R(1, M, L) are of L-degree  $\deg_L(Q)$  and  $\deg_L(R)$  respectively. This contradicts the assumption that P(1, M, L) is irreducible and completes the proof.  $\square$ 

4.2. Adams operations on W-modules. In this section we introduce Adams (i.e., exterior power) operations on finitely generated W-modules. The Adams operations were inspired by the Weyl algebra setting, and play an important role in irreducibility and factorization of elements in W.

To begin with, a finitely generated left  $\mathbb{W}$ -module M is a direct sum of a free module of finite rank and a cyclic torsion module. The proof of this statement for  $\mathbb{W}$  is identical to the proof for modules over the Weyl algebra, discussed for example in Lemma 2.5 and Proposition 2.9 of [vdPS03].

Consider a torsion W-module M with generator f. We will write this by (M, f), following the notation of [vdPS03, Sec.2.3]. f is often called a *cyclic vector* for M. It follows that  $M = \mathbb{W}/P\mathbb{W}$  where P is a generator of the left annihilator ideal  $\operatorname{ann}(f) := \{Q \in \mathbb{W} \mid Qf = 0\}$  of f.

**Definition 4.4.** For a natural number k, we define the k-th exterior power of (M, f) by

$$\bigwedge^{k}(M,f) = (\bigwedge^{k}M, f \wedge Lf \wedge \dots \wedge L^{k-1}f)$$

If  $P = \operatorname{ann}(f)$ , then we define  $\bigwedge^k P := \operatorname{ann}(f \wedge Lf \wedge \cdots \wedge L^{k-1}f)$ .

The next lemma is an effective algorithm to compute  $\bigwedge^k P$ . Recall the *shifted analogue of the Wronskian* of k sequences  $f_n^{(i)}$  for  $i=1,\ldots,k$  given by

(5) 
$$W(f^{(1)}, \dots, f^{(k)})_n = \det_{\substack{0 \le j \le k-1 \\ 1 \le i \le k}} f_{n+j}^{(i)}.$$

**Lemma 4.5.** Let  $P \in \mathbb{W}$  and  $f_n^{(1)}, \ldots, f_n^{(k)}$  be k linearly independent solutions of the equation Pf = 0. Then  $\bigwedge^k P$  is the minimal-order operator in  $\mathbb{W}$  which annihilates the sequence  $w_n = W(f^{(1)}, \ldots, f^{(k)})_n$ . In particular, there is a unique such solution (up to left multiplication by elements from  $\mathbb{K}(q, M)$ ).

Proof. Let d denote the L-degree of P and choose a fundamental set of solutions  $f^{(i)}$  for  $i=1,\ldots,d$  to the recurrence equation Pf=0. Then, the cyclic vector f of  $M=\mathbb{W}/P\mathbb{W}$  satisfies  $f=\sum_{i=1}^d c_i f^{(i)}$ . It follows that  $L^j f=\sum_{i=1}^d c_i L^j f^{(i)}$ . Therefore,  $f \wedge L f \wedge \cdots \wedge L^{k-1} f$  is a linear combination of the Wronskians  $W(f^{(i_1)},\ldots,f^{(i_k)})$  for  $1 \leq i_1 < i_2 < \cdots < i_k \leq d$ . By definition,  $\bigwedge^k P$  is the minimal-degree monic operator that annihilates  $f \wedge L f \wedge \cdots \wedge L^{k-1} f$ . The result follows.

Corollary 4.6. Lemma 4.5 gives the following algorithm to compute  $\bigwedge^k P$ : The definition of  $w_n$  as a determinant together with the equations  $Pf^{(i)} = 0$  for i = 1, ..., k allows to express  $w_{n+\ell}$  for arbitrary  $\ell \in \mathbb{N}$  as a  $\mathbb{K}(q, q^n)$ -linear combination of the products  $\prod_{i=1}^k f_{n+j_i}^{(i)}$  where  $0 \le j_i < \deg_L(P)$  for  $1 \le i \le k$ . This allows to determine the minimal  $\ell$  such that  $w_n, \ldots, w_{n+\ell}$  are  $\mathbb{K}(q, q^n)$ -linearly dependent. Compare also with [vdPS03, Example 2.29].

**Lemma 4.7.** Let  $P \in \mathbb{W}$  be of the form  $P = L^d + \sum_{j=0}^{d-1} a_j L^j$  with  $a_0 \neq 0$ , and let  $\{f_n^{(1)}, \ldots, f_n^{(d)}\}$  be a fundamental solution set of the equation Pf = 0. Then  $w_{n+1} + (-1)^d a_0 w_n = 0$  where  $w = W(f^{(1)}, \ldots, f^{(d)})$ .

*Proof.* The proof is done by an elementary calculation

$$w_{n+1} = \begin{pmatrix} f_{n+1}^{(1)} & \cdots & f_{n+1}^{(d)} \\ \vdots & & \vdots \\ f_{n+d}^{(1)} & \cdots & f_{n+d}^{(d)} \end{pmatrix} = \begin{pmatrix} f_{n+1}^{(1)} & \cdots & f_{n+1}^{(d)} \\ \vdots & & \vdots \\ f_{n+d-1}^{(1)} & \cdots & f_{n+d-1}^{(d)} \\ -a_0 f_n^{(1)} & \cdots & -a_0 f_n^{(d)} \end{pmatrix} = -a_0 w_n$$

where in the second step the identities  $f_{n+d}^{(i)} = -\sum_{j=0}^{d-1} a_j f_{n+j}^{(i)}$  and some row operations have been employed.

**Theorem 4.8.** Let  $P, Q, R \in \mathbb{W}$  such that P = QR is a factorization of P, and let k denote the order of R, i.e.,  $k = \deg_L(R)$ . Then  $\bigwedge^k P$  has a linear right factor of the form L - a for some  $a \in \mathbb{K}(q, M)$ .

Proof. Let  $F = \{f^{(1)}, \ldots, f^{(k)}\}$  be a fundamental solution set of R. By Lemma 4.7 below it follows that  $w = W(f^{(1)}, \ldots, f^{(k)})$  satisfies a recurrence of order 1, say  $w_{n+1} = aw_n, a \in \mathbb{K}(q, M)$ . But F is also a set of linearly independent solutions of Pf = 0, and therefore w is contained in the solution space of  $\bigwedge^k P$ . It follows that  $\bigwedge^k P$  has the right factor L - a.  $\square$ 

## 5. Plethysm

In this section we define Adams operations on the ring  $\mathbb{Q}(M)[L]$ , and in particular on the set of affine curves in  $\mathbb{C}^* \times \mathbb{C}^*$ .

Let  $\mathbb{Q}(M)_+[L]$  denote the subring of  $\mathbb{Q}(M)[L]$  which consists of  $p(M,L) \in \mathbb{Q}(M)[L]$  with constant term 1, i.e., p(M,0) = 1. If  $p(M,L) \in \mathbb{Q}(M)_+[L]$  has degree  $d = \deg_L(p)$ , then we can write

$$p(M, L) = \prod_{i=1}^{d} (1 + L_i(M)L^i)$$

in an appropriate algebraic closure of  $\mathbb{Q}(M)[L]$ .

**Definition 5.1.** For  $k \in \mathbb{N}$  we define  $\psi : \mathbb{Q}(M)_+[L] \longrightarrow \mathbb{Q}(M)_+[L]$  by

$$\psi_k(p)(M,L) = \prod_{1 \le i_1 < i_2 < \dots < i_k \le d} (1 + L_{i_1}(M) \dots L_{i_k}(M)L)$$

The next lemma expresses the coefficients of  $\psi_k(p)$  in terms of those of p using the plethysm operations on the basis  $e_i$  of the ring of symmetric functions  $\Lambda$ . For a definition of the latter, see [Mac95, Sec.I.8].

**Lemma 5.2.** If  $p = \prod_{i=1}^{\infty} (1 + x_i L) = \sum_{i=0}^{\infty} e_i L^i$  then

$$\psi_k(p) = \sum_{i=0}^{\infty} (e_i \circ e_k) L^i$$

Corollary 5.3. In particular for d=5 and k=2,3 (as is the case of interest for the knot  $7_4$ ) the SF package [Ste05] gives:

$$\begin{split} p &= 1 + e_1 L + e_2 L^2 + e_3 L^3 + e_4 L^4 + e_5 L^5 \\ \psi_2(p) &= 1 + e_2 L + (e_1 e_3 - e_4) L^2 + (-2 e_2 e_4 + e_3^2 + e_1^2 e_4 - e_1 e_5) L^3 + (e_1^3 e_5 + e_3 e_5 - e_4^2 - 3 e_1 e_2 e_5 + e_1 e_3 e_4) L^4 \\ &\quad + (e_1^2 e_3 e_5 - 2 e_1 e_4 e_5 - 2 e_2 e_3 e_5 + 2 e_5^2 + e_2 e_4^2) L^5 + (e_1 e_2 e_4 e_5 - e_1^2 e_5^2 + e_2 e_5^2 - 3 e_3 e_4 e_5 + e_4^3) L^6 \\ &\quad + (-e_4 e_5^2 + e_1 e_4^2 e_5 - 2 e_1 e_3 e_5^2 + e_2^2 e_5^2) L^7 + (e_2 e_4 e_5^2 - e_1 e_5^3) L^8 + e_3 e_5^3 L^9 + e_5^4 L^{10} \\ \psi_3(p) &= 1 + e_3 L + (e_2 e_4 - e_1 e_5) L^2 + (-2 e_1 e_3 e_5 - e_4 e_5 + e_1 e_4^2 + e_2^2 e_5) L^3 + (e_1 e_2 e_4 e_5 - e_1^2 e_5^2 + e_2 e_5^2 - 3 e_3 e_4 e_5 + e_4^3) L^4 \\ &\quad + (-2 e_2 e_3 e_5^2 + 2 e_5^3 + e_1^2 e_3 e_5^2 + e_2 e_4^2 e_5 - 2 e_1 e_4 e_5^2) L^5 + (-e_4^2 e_5^2 + e_3 e_5^3 + e_1^3 e_5^3 + e_1 e_3 e_4 e_5^2 - 3 e_1 e_2 e_5^3) L^6 \\ &\quad + (e_3^2 e_5^3 - e_1 e_5^4 + e_1^2 e_4 e_5^3 - 2 e_2 e_4 e_5^3) L^7 + (-e_4 e_5^4 + e_1 e_3 e_5^4) L^8 + e_2 e_5^5 L^9 + e_5^6 L^{10} \end{split}$$

## 6. Factorization of q-difference operators after Bronstein-Petkovšek

This section which is not needed for the results of our paper, but may be of independent interest. In BP96, Bronstein-Petkovšek developed a factorization algorithm for q-difference operators, and more generally, for Ore operators. A key component of their algorithm, which predated and motivated the work of [APP98], is to reduce the problem of factorization into computing all linear right factors of a finite list of so-called associated operators. Since this factorization algorithm is not widely known, we will describe it in this section, following [BP96]. All results in this section are due to [BP96].

**Definition 6.1.** Let  $P \in \mathbb{W}$  be of the form  $P = L^d + \sum_{j=0}^{d-1} a_j L^j$  with  $a_0 \neq 0$ , and let  $\{f_n^{(1)},\ldots,f_n^{(d)}\}$  be a fundamental solution set of the equation Pf=0. Let

(6) 
$$\sum_{l=0}^{d} w^{(d-l)} L^{l} f = \det \begin{pmatrix} f & f^{(1)} & \cdots & f^{(d)} \\ \vdots & \vdots & & \vdots \\ L^{d} f & L^{d} f^{(1)} & \cdots & L^{d} f^{(d)} . \end{pmatrix}$$

**Lemma 6.2.** (a) With the notation of Definition 6.1 we have

(7) 
$$\frac{w^{(d-j)}}{w^{(0)}} = a_j$$

for  $j = 0, \ldots, d$ .

- (b)  $w^{(0)} = W(f^{(1)}, \dots, f^{(d)})$  satisfies  $w_{n+1}^{(0)} + (-1)^d a_0 w_n^{(0)} = 0$ .
- (c) For  $j = 0, \ldots, d-1$  and  $n \in \mathbb{N}$  we have

(8) 
$$a_j(q,q^n)w_{n+1}^{(d-j)} + (-1)^d a_j(q,q^{n+1})a_0(q,q^n)w_n^{(d-j)} = 0.$$

*Proof.* Since  $\sum_{l=0}^d w^{(d-l)} L^l f^{(i)} = 0$  for  $i = 1, \ldots, d$  and  $\{f^{(1)}, \ldots, f^{(d)}\}$  is a fundamental solution of the equation Pf = 0, it follows that  $P = \sum_{l=0}^{d} w^{(d-l)} L^{l} f$ . This proves (a). The definition of  $w^{(0)}$  implies that  $w^{(0)} = W(f^{(1)}, \dots, f^{(d)})$  and likewise

$$w^{(d)} = W(Lf^{(1)}, \dots, Lf^{(d)}) = LW(Lf^{(1)}, \dots, Lf^{(d)}).$$

Using  $w^{(d)} = a_0 w^{(0)}$  (by part (a)) and the above, we obtain (b). Now, (a) gives  $w^{(d-j)} = a_j w^{(0)}$ , hence  $Lw^{(d-j)} = (La_j)Lw^{(0)} = (-1)^{d-1}(La_j)a_0w^{(0)}$ . Eliminating  $w^{(0)}$ , (c) follows. 

	L-degree	M-degree	q-degree	ByteCount
$P_{7_4}$	5	24	65	463544
$\bigwedge^2 P_{7_4}$	10	134	749	37293800
$\bigwedge^3 P_{7_4}$	10	183	1108	62150408

**Table 1.** Some statistics concerning  $P_{7_4}$  and its exterior powers

Lemma 6.2 gives the following algorithm that produce a finite set of all possible right factors  $R = L^k + \sum_{j=0}^{k-1} a_j L^j$  of an element  $P \in \mathbb{W}$ .

Corollary 6.3. Using the definition of  $w^{(k-j)}$  for  $j=0,\ldots,k$  together with the equations  $Pf^{(i)}=0$  for  $i=1,\ldots,k$  allows to express  $w_{n+\ell}^{(k-j)}$  for arbitrary  $\ell\in\mathbb{N}$  as a  $\mathbb{K}(q,q^n)$ -linear combination of the products  $\prod_{i=1}^k f_{n+j_i}^{(i)}$  where  $0\leq j_i<\deg_L(P)$  for  $1\leq i\leq k$ . This allows to determine the minimal  $\ell$  such that  $w_n^{(k-j)},\ldots,w_{n+\ell}^{(k-j)}$  are  $\mathbb{K}(q,q^n)$ -linearly dependent. Let  $\bigwedge_j^k P$  denote the corresponding monic minimal-order operators. List all right factors of  $\bigwedge_j^k P$  using qHyper. If  $a_j=0$ , include it in the list of possible values of  $a_j$ . Else, use the computed finite list and equation (8) to list all possible values of  $a_j$ . The result follows.

## 7. Irreducibility of the computed recurrence for $7_4$

The irreducibility of a monic operator  $P \in \mathbb{W}$  of order d can be established by Theorem 4.8, i.e., by showing that none of the exterior powers  $\bigwedge^k P$  for  $1 \leq k < d$  has a linear right factor. In the case of the fifth-order operator  $P_{7_4}$  we observed that its q = 1 specialization factors into two irreducible factors of L-degrees 2 and 3, respectively (and hence Proposition 4.3 is not applicable). We conclude that  $P_{7_4}$  cannot have right factors of L-degrees 1 or 4. Thus it suffices to inspect its second and third exterior powers only.

The computation of an exterior power  $\bigwedge^k P$  is immediate from its definition. We start with an ansatz for a linear recurrence for the Wronskian:

(9) 
$$c_{\ell}(q, M)w_{n+\ell} + \dots + c_{1}(q, M)w_{n+1} + c_{0}(q, M)w_{n} = 0.$$

In the next step, all occurrences of  $w_{n+j}$  in (9) are replaced by the expansion of the determinant (5), e.g., for k=2 we have

$$w_{n+j} = f_{n+j}^{(1)} f_{n+j+1}^{(2)} - f_{n+j+1}^{(1)} f_{n+j}^{(2)}.$$

As before let d denote the L-degree of P. Now each  $f_{n+j}^{(i)}$  with  $j \geq d$  is rewritten as a  $\mathbb{Q}(q,M)$ -linear combination of  $f_n^{(i)},\ldots,f_{n+d-1}^{(i)}$ , using the equation  $Pf^{(i)}=0$ . Finally, coefficient comparison with respect to  $f_{n+j}^{(i)}, 1 \leq i \leq k, 0 \leq j < d$  yields a linear system for the unknown coefficients  $c_0,\ldots,c_\ell$ . The minimal-order recurrence for  $w_n$  can be found by trying  $\ell=0, \ell=1,\ldots$ , until a solution is found. This methodology was employed to compute  $\bigwedge^2 P_{7_4}$  and  $\bigwedge^3 P_{7_4}$  (see Table 1 for their sizes).

Having the exterior powers of  $P_{7_4}$  at hand, we can now apply Theorem 4.8 to it: for establishing the irreducibility of  $P_{7_4}$  we have to show that its exterior powers do not have right factors of order one. Note that for our application we would not necessarily need the

minimal-order recurrences for the Wronskian—as long as they have no linear right factors, the irreducibility follows as a consequence. Note also that one could try to use Proposition 4.3 for this task; unfortunately this is not going to work, since from the discussion in Section 5 it is clear that, after the substitution q = 1, the exterior powers in question do have a linear factor.

It is well known that a linear right factor of a q-difference equation corresponds to a q-hypergeometric solution, i.e., a solution  $f_n(q)$  such that  $f_{n+1}/f_n$  is a rational function in q and  $q^n$ . The problem of computing all such solutions has been solved in [APP98] and the corresponding algorithm has been implemented by Petkovšek in the Mathematica package qHyper.

Let  $P(q, M, L) = \sum_{i=0}^{d} p_i(q, M)L^i$  be an operator such that all  $p_i$  are polynomials. The qHyper algorithm described in [APP98] attempts to find a right factor L - r(q, M) of P where the rational function r is assumed to be written in the normal form

$$r(q, M) = z(q) \frac{a(q, M)}{b(q, M)} \frac{c(q, qM)}{c(q, M)}$$

subject to the conditions

(10) 
$$\gcd(a(q, M), b(q, q^n M)) = 1 \text{ for all } n \in \mathbb{N},$$

and

$$\gcd(a(q, M), c(q, M)) = 1, \quad \gcd(b(q, M), c(q, qM)) = 1, \quad c(0) \neq 0$$

(see [APP98] for the existence proof). It is not difficult to show that under these assumptions  $a(q, M) \mid p_0(q, M)$  and  $b(q, M) \mid p_d(q, q^{1-d}M)$ . Therefore the algorithm qHyper proceeds by testing all admissible choices of a and b. Each such choice yields a q-difference equation for c(q, M) which also involves the unknown algebraic expression z(q). The techniques for solving this kind of equations (or for showing that no solution exists) are described in detail in [APP98].

Now let's apply qHyper to  $P^{(2)}(q, M, L) := \bigwedge^2 P_{7_4}$  whose trailing and leading coefficients are given by

$$p_0(q, M) = q^{162}M^{44}(M - 1)\left(\prod_{i=6}^{9}(q^iM - 1)\right)\left(\prod_{i=6}^{10}(q^iM + 1)(q^{2i+1}M^2 - 1)\right)F_1(q, M)$$

$$p_{10}(q, q^9M) = q^{-397}(q^2M - 1)\left(\prod_{i=4}^{7}(M - q^i)\right)\left(\prod_{i=4}^{8}(M + q^i)(M^2 - q^{2i+1})\right)F_2(q, M)$$

where  $F_1$  and  $F_2$  are large irreducible polynomials, related by  $q^{280}F_1(q,M) = F_2(q,q^{10}M)$ . A blind application of qHyper would result in  $45 \cdot 2^{16} \cdot 2^{16} = 193\,273\,528\,320$  possible choices for a and b—far too many to be tested in reasonable time. In [CvH06, Hor08] improvements to qHyper have been presented which are based on local types and exclude a large number of possible choices; however, the simple criteria described below seem to be more efficient.

In order to confine the number of qHyper's test cases we exploit two facts. The first is the fact that  $P^{(2)}(1, M, L) = R_1(M) \cdot (L - M^4) \cdot Q_1(M, L) \cdot Q_2(M, L)$  where  $Q_1$  and  $Q_2$  are

irreducible of L-degree 3 and 6, respectively. In other words, we need only to test pairs (a, b) which satisfy the condition

(11) 
$$a(1,M) = M^4b(1,M).$$

The second fact is that a and b must fulfill condition (10); in Remark 4.1 of [Pet92] this improvement is already suggested, formulated in the setting of difference equations. In our example we are lucky because the two criteria exclude most of the possible choices for a and b; the process of figuring out which cases remain to be tested is now presented in detail.

- 1. (11) implies that either both  $F_1$  and  $F_2$  must be present or none of them; condition (10) then excludes them entirely.
- 2. Clearly the factor  $M^4$  in (11) can only come from  $M^{44}$  in  $p_0$ ; thus all other (linear and quadratic) factors in a(1, M)/b(1, M) must cancel completely.
- 3. The most simple admissible choice is  $a(q, M) = M^4$  and b(q, M) = 1.
- 4. Because of (10) a cancelation can almost never take place among factors which are equivalent under the substitution q = 1. This is reflected by the fact that the entries in the first column of Table 2 are (row-wise) larger than those in the second column, e.g.,  $(q^6M + 1) \mid a(q, M)$  and  $(q^{-4}M + 1) \mid b(q, M)$  violates (10).
- 5. The only exception is that  $(M-1) \mid a(q,M)$  cancels with  $(q^2M-1) \mid b(q,M)$  in a(1,M)/b(1,M). In that case, (10) excludes further factors of the form  $q^iM-1$ , and together with (11) we see that no other factors at all can occur. This gives the choice  $a(q,M) = M^4(M-1)$  and  $b(q,M) = q^2M-1$ .
- 6. We may assume that a(q, M) contains some of the quadratic factors  $q^iM^2 1$ . For q = 1 they factor as (M-1)(M+1) and therefore can be canceled with corresponding pairs of linear factors in b(q, M). Condition (10) forces a(q, M) to be free of linear factors and b(q, M) to be free of quadratic factors. Thus we obtain  $\sum_{m=1}^{5} {5 \choose m}^3 = 2251$  possible choices.
- 7. Analogously a(q, M) can have some linear factors which for q = 1 must cancel with quadratic factors in b(q, M); this gives 2251 further choices.

Summing up, we have to test 4504 cases which can be done in relatively short time on a computer. None of these cases delivered a solution for c(q, M) and z(q) which proves that  $P^{(2)}$  does not have a linear right factor.

The situation for  $P^{(3)}(q, M, L) := \bigwedge^3 P_{7_4}$  is very similar. Now the trailing and leading coefficients turn out to be

$$p_0(q, M) = q^{297} M^{66} (M - 1)(q^7 M - 1) \cdots (q^{23} M^2 - 1) F_3(q, M)$$
  
$$p_{10}(q, q^{-9} M) = q^{-456} (q^3 M - 1)(M - q^4) \cdots (M^2 - q^{17}) F_4(q, M)$$

where the linear and quadratic factors can be extracted from Table 2. Also not explicitly displayed are the large irreducible factors  $F_3$  and  $F_4$  which satisfy  $q^{275}F_3(q,M) = F_4(q,q^{10}M)$ . For q = 1 we obtain the factorization  $P^{(3)}(1,M,L) = R_2(M) \cdot (L+M^7) \cdot Q_3(M,L) \cdot Q_4(M,L)$  where  $Q_3$  and  $Q_4$  are irreducible of L-degree 3 and 6, respectively. As before we get two special cases, the first with  $a(q,M) = M^7$  and b(q,M) = 1, and the second with  $a(q,M) = M^7(M-1)$  and  $b(q,M) = q^3M - 1$ . For the choices where we cancel quadratic

	$\bigwedge^2 P_{7_4}$		$\bigwedge^3 P_{7_4}$	
	$p_0(q,M)$	$p_{10}(q,q^{-9}M)$	$p_0(q,M)$	$p_{10}(q, q^{-9}M)$
$q^iM-1$	0, 6, 7, 8, 9	$ \begin{array}{c cccc} -7, & -6, & -5, \\ -4, & 2 \end{array} $	0, 7, 8, 9	-6, -5, -4, 3
		$ \begin{array}{cccccccccccccccccccccccccccccccccccc$		$ \begin{array}{cccccccccccccccccccccccccccccccccccc$
$q^iM^2 - 1$	13, 15, 17, 19, 21	$\begin{bmatrix} -17, & -15, & -13, \\ -11, & -9 \end{bmatrix}$	5, 7, 9, 11, 13 <sup>2</sup> , 15 <sup>2</sup> , 17 <sup>2</sup> , 19 <sup>2</sup> , 21 <sup>2</sup> , 23	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$

**Table 2.** Factors of the leading and trailing coefficients of the exterior powers of  $P_{7_4}$ ; each cell contains the values of i of the corresponding factors. Superscripts indicate that factors occur with multiplicities.

against linear factors, we obtain

$$2\sum_{m=1}^{4} {4 \choose m} {5 \choose m} \sum_{j=0}^{\lfloor m/2 \rfloor} {5 \choose j} {10-j \choose m-2j} = 23600$$

possibilities. Again, none of these cases yields a solution for c(q, M) and therefore we have shown that  $P^{(3)}$  does not have a linear right factor.

Theorem 4.8 now implies that  $P_{7_4}$  cannot have a right factor of order 2 or 3. We conclude that the operator  $P_{7_4}$  is irreducible.

### 8. No recurrence of order zero

In this section we give an elementary criterion to deduce that a q-holonomic sequence does not satisfy an inhomogeneous recurrence of order zero, and apply it in the case of the  $7_4$  knot to conclude the proof of Theorem 1.2. The next lemma is obvious.

**Lemma 8.1.** If  $\deg_q(f_n(q))$  is not a linear function of n, then  $f_n(q)$  does not satisfy af = b for  $a, b \in \mathbb{K}(q, q^n)$ .

The degree  $\deg_q(J_{K,n}(q))$  of an alternating knot is well-known and given by a quadratic polynomial in n; see for instance [Gar11b] and [Lê06]. In the case of the alternating knot  $7_4$ , we have

$$\deg_q(J_{7_4,n}(q)) = \frac{7}{2}n^2 - \frac{5}{2}n - 1.$$

It follows that  $J_{7_4,n}$  does not satisfy an inhomogeneous recurrence of order zero.

### 9. Proof of Theorem 1.2

In this section we will finish the proof of Theorem 1.2. It follows from the following lemma, of independent interest.

**Lemma 9.1.** Suppose f is a q-holonomic sequence such that

1. f satisfies the inhomogeneous recurrence Pf = b,

- 2.  $P \in \mathbb{W}$  is irreducible,  $\deg_L(P) > 1$  and  $b \in \mathbb{K}(q, q^n) \neq 0$ ,
- 3. f does not satisfy a recurrence of the form af = c for  $a, c \in \mathbb{K}(q, q^n), a \neq 0$ .

Then the minimal-order homogeneous recurrence relation that f satisfies is given by  $(L-1)(b^{-1}P)f=0$ .

Proof. Let  $d = \deg_L(P)$  and  $P' = (L-1)(b^{-1}P)$ . P' is the product of two irreducible elements of  $\mathbb{W}$  (namely, L-1 and  $b^{-1}P$ ) of L-degrees 1 and d respectively. Recall that  $\mathbb{W}$  is a Euclidean domain. Although the factorization of an element in  $\mathbb{W}$  into irreducible factors is not unique in general, [Ore33, Thm.1] proves that the number of irreducible factors of a fixed order is independent of the factorization. It follows that any factorization of P' into a product of irreducible factors has exactly two factors, one of L-degree 1 and another of L-degree d.

Suppose P''f = 0 where P'' has minimal L-degree strictly less than d+1. Since  $\mathbb{W}$  is a Euclidean domain, it follows that P'' is a right factor of P', and P'' is a product of irreducible factors. The above discussion implies that P'' is irreducible of L-degree 1 or d. Since  $\mathbb{W}$  is a Euclidean domain, we can write P = QP'' + R where  $R \neq 0$  and  $\deg_L(R) < \deg_L(P'')$ . It follows that Rf = b, thus  $(L-1)(b^{-1}R)f = 0$ . By the choice of P'', it follows that P'' is a right factor of  $(L-1)(b^{-1}R)$ .

Case 1:  $\deg_L(P'') = 1$ . Then  $\deg_L(R) = 0$  and f satisfies Rf = b contrary to the hypothesis. Case 2:  $\deg_L(P'') = d$ . Then, P'' is irreducible and it is a right factor of  $(L-1)(b^{-1}R)$  where  $\deg_L(b^{-1}R) < d$ . It follows that any factorization of  $b^{-1}R$ , extended to a factorization of  $(L-1)(b^{-1}R)$ , will contain an irreducible factor of L-degree d. This is impossible since  $\deg_L(b^{-1}R) < d$ .

### 10. Extension to double twist knots

10.1. The A-polynomial of double twist knots. The  $SL(2, \mathbb{C})$  character variety of non-abelian representations of  $K_{p,p}$  for p > 1 consists of two components, the geometric one, and the non-geometric one [Pet11]. It follows that the A-polynomial of  $K_{p,p}$  is the product of two factors, with multiplicities. The values of  $A_{K_{p,p}}(M,L)$  for  $p = 2, \ldots, 8$ , as well as the recurrences presented in Section 10.2, are available from

http://www.math.gatech.edu/~stavros/publications/double.twist.data/ For  $p=2,\ldots,8$  we have

$$A_{K_{p,p}}(M,L) = A_{K_{p,p}}^{\text{geom}}(M,L)A_{K_{p,p}}^{\text{ngeom}}(M,L)^2$$

is the product of two irreducible factors: the geometric component has (M, L)-degree (2p - 1, 8p - 2) and multiplicity one, and the non-geometric one has (M, L)-degree  $(p^2 - p, 4p^2 - 4)$  and multiplicity two. The Newton polygons of  $A_{K_{p,p}}^{\text{geom}}$  and  $A_{K_{p,p}}^{\text{ngeom}}$  are parallelograms given by the convex hull of

$$\big\{(2p-1,8p-2),(1,8p-2),(0,0),(2p-2,0)\big\}$$

and

$$\{(p^2-p,4p^2-4p),(p-1,4p^2-4p),(0,0),(p^2-2p+1,0)\}$$

respectively in (M, L)-coordinates. The area of the above Newton polygons is 4(4p-1)(p-1) and  $4p(p-1)^3$ , respectively. The behavior of the Newton polygon of  $A_{p,p}(M, L)$  as a function of p is in agreement with a theorem of [Gar11c].

10.2. The non-commutative A-polynomial of double twist knots. We have rigorously computed an inhomogeneous recurrence for the double twist knot  $K_{3,3}$ , using creative telescoping (see Section 3). It has order 11 and its  $(q, q^n)$ -degree is (458, 74). Moreover, it verifies the AJ conjecture using the reduced A-polynomial. The corresponding operator factors for q = 1 into two irreducible factors of L-degrees 5 and 6. In order to show the irreducibility of the operator itself (to prove that the computed recurrence is of minimal order), we would have to investigate its fifth and sixth exterior powers—a challenge that currently seems hopeless.

For  $K_{4,4}$  and  $K_{5,5}$  we were able to obtain recurrences, using an ansatz with undetermined coefficients ("guessing"). Although they were derived in a non-rigorous way, they both confirm the AJ conjecture using the reduced A-polynomial. Again, both recurrences are inhomogeneous; the one for  $K_{4,4}$  has order 19 and  $(q, q^n)$ -degree (2045, 184), the one for  $K_{5,5}$  is a truly gigantic one: it is of order 29, has  $(q, q^n)$ -degree (6922, 396), and its total size is nearly 8GB (according to Mathematica's ByteCount). These data qualify it as a good candidate for the largest q-difference equation that has ever been computed explicitly. A rigorous derivation of these two recurrences using creative telescoping, or even the application of the irreducibility criterion using exterior powers, is far beyond our current computing abilities.

**Acknowledgment.** The authors wish to thank Marko Petkovšek for sharing his expertise on the qHyper algorithm and the factorization of linear operators.

Appendix A. The formula for the non-commutative A-polynomial of  $7_4$ 

In the following, Equation 2 from Theorem 1.2 is given explicitly; note that the operator  $P_{7_4}(q, M, L) = \sum_{j=0}^{5} a_j(q, M) L^j$  is palindromic since  $a_j(q, M) = -q^{60} M^{24} a_{5-j}(q, (q^5 M)^{-1})$  (and therefore only  $a_5$ ,  $a_4$ , and  $a_3$  are displayed).

$$a_5 = (qM - 1)(qM + 1)(qM^2 - 1)(q^2M - 1)(q^2M + 1)(q^3M^2 - 1)(q^5M - 1)(q^8(q + 1)M^4 - q^5(q^3 + 2q^2 + q + 1)M^3 + q^2(2q^4 + q^3 + 2q^2 + 2q + 1)M^2 - q(q^3 + 2q^2 + q + 1)M + (q + 1))$$

$$a_4 = q(qM-1)(qM+1)(qM^2-1)(q^3M^2-1)(q^4M-1)^2(q^4M+1)(q^{33}(q+1)M^{11}-q^{29}(q+2)(q^3+q+1)M^{10}+q^{24}(q+1)(2q^6-2q^5+5q^4+q^3+4q^2+3q-1)M^9-q^{20}(4q^7+2q^6+9q^5+10q^4+6q^3+6q^2-q-2)M^8-q^{16}(2q^{11}+q^9-2q^8-4q^7-12q^5-10q^4-3q^3+6q+3)M^7+q^{12}(q^{13}+2q^{12}+5q^{11}+q^{10}+4q^9-2q^7-8q^5+q^4+7q^3+7q^2+7q+2)M^6-q^9(q^{13}+3q^{12}+8q^{11}+8q^{10}+q^9+4q^8+q^7+3q^6+q^5-4q^4+7q^3+10q^2+7q+3)M^5+q^6(4q^{12}+7q^{11}+9q^{10}+4q^9-2q^8+q^7-4q^6-3q^5-3q^4-q^3+5q^2+4q+2)M^4-q^5(q^{10}+5q^9+6q^8+3q^7-7q^6-10q^5-7q^4-9q^3-9q^2-9q-3)M^3+q^2(q^2+q+1)(q^7+2q^6-5q^5-5q^4-3q^3-2q^2-3q-2)M^2+q(q^5+6q^4+9q^3+8q^2+3q+2)M-(q+1)(q+2))$$

$$a_3 = -q^2(qM-1)(qM+1)(qM^2-1)(q^3M-1)^2(q^3M+1)(q^9M^2-1)(q^{41}(q+1)M^{15}-q^{37}(q^4+2q^3+3q^2+4q+1)M^{14}+q^{34}(q^5+q^4+7q^3+9q^2+8q+3)M^{13}+q^{29}(q^9+2q^8-2q^7-2q^6-10q^5-17q^4-12q^3-3q^2+2q+1)M^{12}-q^{25}(2q^{11}+4q^{10}+5q^9+4q^8-3q^7-11q^6-17q^5-11q^4+2q^3+8q^2+5q+1)M^{11}+q^{22}(6q^{11}+12q^{10}+8q^9+8q^8-14q^6-19q^5-6q^4+11q^3+16q^2+9q+2)M^{10}+q^{18}(2q^{14}-2q^{13}-9q^{12}-17q^{11}-11q^{10}+10q^8+20q^7+24q^6+7q^5-15q^4-20q^3-10q^2+1)M^9-q^{15}(q^{15}+6q^{14}-3q^{13}-14q^{12}-14q^{11}-4q^{10}+11q^9+25q^8+36q^7+35q^6+16q^5-9q^4-13q^3-6q^2+3q+3)M^8+q^{12}(4q^{15}+6q^{14}-3q^{13}-18q^{12}-16q^{11}+4q^{10}+23q^9+30q^8+39q^7+31q^6+12q^5-14q^4-14q^3-q^2+3q+3)M^7-q^9(5q^{15}+3q^{14}-11q^{13}-23q^{12}-18q^{11}+2q^{10}+19q^9+20q^8+21q^7+8q^6-7q^5-20q^4-22q^3-5q^2+q+1)M^6+q^8(q+1)(2q^{12}-4q^{11}-13q^{10}-17q^9-q^8+2q^7+11q^6-2q^5+5q^4-9q^3-13q^2-12q-6)M^5+q^5(5q^{12}+16q^{11}+25q^{10}+11q^9-8q^8-19q^7-16q^6-4q^5-2q^4+6q^3+11q^2+5q+1)M^4-q^4(2q^{10}+10q^9+9q^8-3q^7-22q^6-23q^5-20q^4-13q^3-6q^2-3q+1)M^3+q^2(q+1)(2q^7-4q^6-6q^5-17q^4-6q^3-6q^2-2q-1)M^2+q(2q^5+8q^4+11q^3+10q^2+3q+1)M-(q+1)(2q+1))$$

$$b_{7_4} = -q^{10}M^3(qM+1)(q^2M+1)(q^3M+1)(q^4M+1)(qM^2-1)(q^3M^2-1)(q^5M^2-1)(q^7M^2-1)(q^9M^2-1) \\ (q^{10}(q^3+q^2-q+1)M^4-q^6(2q^5+2q^3+q^2-q+1)M^3+q^2(q+1)(q^7-2q^6+4q^5-q^4+q^3+q^2-q+1)M^2-q(2q^5+2q^3+q^2-q+1)M+(q^3+q^2-q+1))$$

When q is set to 1, the above expressions simplify drastically. For a concise presentation we introduce the following notation for some frequently appearing irreducible factors:

$$\begin{split} v_1 &= M^4 - M^3 - 2M^2 - M + 1 \\ v_2 &= M^4 - 2M^3 + 6M^2 - 2M + 1 \\ v_3 &= 2M^4 - 5M^3 + 8M^2 - 5M + 2 \\ v_4 &= M^7 - 2M^6 + 3M^5 + 2M^4 - 7M^3 + 2M^2 + 6M - 2 \\ v_5 &= M^8 - 2M^7 + 6M^6 + 2M^5 - 10M^4 + 2M^3 + 6M^2 - 2M + 1 \\ v_6 &= M^{12} - 6M^{11} + 16M^{10} - 24M^9 + 15M^8 + 14M^7 - 36M^6 + 14M^5 + 15M^4 - 24M^3 + 16M^2 - 6M + 1 \\ v_7 &= 2M^{14} - 10M^{13} + 16M^{12} - 4M^{11} - 46M^{10} + 67M^9 + 28M^8 - 116M^7 + 28M^6 + 67M^5 - 46M^4 - 4M^3 + 16M^2 - 10M + 2 \\ v_8 &= M^{18} - 4M^{17} + 10M^{16} - 10M^{15} - 3M^{14} + 40M^{13} - 67M^{12} - 34M^{11} + 157M^{10} - 14M^9 - 140M^8 + 40M^7 + 66M^6 - 18M^5 - 14M^4 + 4M^3 + 4M^2 - 4M + 1 \\ v_9 &= M^{26} - 8M^{25} + 42M^{24} - 142M^{23} + 345M^{22} - 554M^{21} + 521M^{20} + 51M^{19} - 729M^{18} + 827M^{17} + 234M^{16} - 843M^{15} + 707M^{14} - 45M^{13} + 707M^{12} - 843M^{11} + 234M^{10} + 827M^9 - 729M^8 + 51M^7 + 521M^6 - 554M^5 + 345M^4 - 142M^3 + 42M^2 - 8M + 1 \end{split}$$

Now the inhomogeneous part  $b_{7_4}$  and the operator  $P_{7_4}$ , together with its second and third exterior power, evaluated at q = 1, can be written in a few lines. A bar is used to denote

the mirror of a polynomial, i.e.,  $\overline{v} = M^{\deg(v)}v(1/M)$ .

$$\begin{split} b_{7_4}(1,M) &= -M^3(M-1)^5(M+1)^9v_3 \\ P_{7_4}(1,M,L) &= (M-1)^5(M+1)^4v_3(L^2-v_1L+M^4)(L^3+v_4L^2+\overline{v_4}L+M^7) \\ P_{7_4}^{(2)}(1,M,L) &= (M-1)^{10}(M+1)^{10}(M^2+1)^2v_2v_3^4v_5v_6v_9(L-M^4)(L^3-\overline{v_4}L^2+M^7v_4L-M^{14}) \\ &\qquad \times (L^6+v_1v_4L^5+v_8L^4-M^4v_1v_7L^3+M^8\overline{v_8}L^2+M^{15}v_1\overline{v_4}L+M^{26}) \\ P_{7_4}^{(3)}(1,M,L) &= (M-1)^{19}(M+1)^{20}(M^2+1)^2v_2v_3^6v_5v_6v_9(L+M^7)(L^3+M^4v_4L^2+M^8\overline{v_4}L+M^{19}) \\ &\qquad \times (L^6-v_1\overline{v_4}L^5+M^4\overline{v_8}L^4+M^{11}v_1v_7L^3+M^{18}v_8L^2-M^{29}v_1v_4L+M^{40}) \end{split}$$

## References

- [APP98] Sergei A. Abramov, Peter Paule, and Marko Petkovšek, q-hypergeometric solutions of q-difference equations, Discrete Math. **180** (1998), no. 1-3, 3-22.
- [BN05] Dror Bar-Natan, Knotatlas, 2005, http://katlas.org.
- [BP96] Manuel Bronstein and Marko Petkovšek, An introduction to pseudo-linear algebra, Theoretical Computer Science 157 (1996), no. 1, 3–33.
- [Bro96] Manuel Bronstein, On the factorisation of linear ordinary differential operators, Math. Comput. Simulation 42 (1996), no. 4-6, 387–389, Symbolic computation, new trends and developments (Lille, 1993).
- [CCG<sup>+</sup>94] D. Cooper, M. Culler, H. Gillet, D. D. Long, and P. B. Shalen, *Plane curves associated to character varieties of 3-manifolds*, Invent. Math. **118** (1994), no. 1, 47–84.
- [Chy00] Frédéric Chyzak, An extension of Zeilberger's fast algorithm to general holonomic functions, Discrete Mathematics 217 (2000), no. 1-3, 115–134.
- [CvH06] Thomas Cluzeau and Mark van Hoeij, Computing hypergeometric solutions of linear difference equations, Applicable Algebra in Engineering, Communication and Computing 17 (2006), no. 2, 83–115.
- [Gar04] Stavros Garoufalidis, On the characteristic and deformation varieties of a knot, Proceedings of the Casson Fest, Geom. Topol. Monogr., vol. 7, Geom. Topol. Publ., Coventry, 2004, pp. 291–309 (electronic).
- [Gar11a] \_\_\_\_\_, The degree of a q-holonomic sequence is a quadratic quasi-polynomial, Electron. J. Combin. 18 (2011), no. 2, Paper 4, 23.
- [Gar11b] \_\_\_\_\_, The Jones slopes of a knot, Quantum Topol. 2 (2011), no. 1, 43–69.
- [Gar11c] \_\_\_\_\_, The role of holonomy in TQFT, 2011, arXiv:1102.1346, Preprint.
- [Gel02] Răzvan Gelca, On the relation between the A-polynomial and the Jones polynomial, Proc. Amer. Math. Soc. 130 (2002), no. 4, 1235–1241 (electronic).
- [GL05] Stavros Garoufalidis and Thang T. Q. Lê, *The colored Jones function is q-holonomic*, Geom. Topol. **9** (2005), 1253–1293 (electronic).
- [GS06] Stavros Garoufalidis and Xinyu Sun, *The C-polynomial of a knot*, Algebr. Geom. Topol. **6** (2006), 1623–1653 (electronic).
- [GS10] \_\_\_\_\_, The non-commutative A-polynomial of twist knots, J. Knot Theory Ramifications 19 (2010), no. 12, 1571–1595.
- [Hab08] Kazuo Habiro, A unified Witten-Reshetikhin-Turaev invariant for integral homology spheres, Invent. Math. 171 (2008), no. 1, 1–81.
- [Hor08] Peter Horn, Faktorisierung in Schief-Polynomringen, Ph.D. thesis, Universität Kassel, 2008.
- [Jan96] Jens Carsten Jantzen, Lectures on quantum groups, Graduate Studies in Mathematics, vol. 6, American Mathematical Society, Providence, RI, 1996.

- [Kou09] Christoph Koutschan, Advanced applications of the holonomic systems approach, Ph.D. thesis, RISC, Johannes Kepler University, Linz, Austria, 2009.
- [Kou10] \_\_\_\_\_, HolonomicFunctions (user's guide), Tech. Report 10-01, RISC Report Series, Johannes Kepler University Linz, 2010.
- [Lau10] Magnus Roed Lauridsen, Aspects of quantum mathematics, Hitchin connections and AJ Conjectures, Ph.D. thesis, Aarhus University, Aarhus, Denmark, 2010.
- [Lê06] Thang T. Q. Lê, The colored Jones polynomial and the A-polynomial of knots, Adv. Math. 207 (2006), no. 2, 782–804.
- [LT11] Thang T. Q. Lê and Anh T. Tran, On the AJ conjecture for knots, 2011, arXiv:1111.5258, Preprint.
- [Mac95] I. G. Macdonald, Symmetric functions and Hall polynomials, second ed., Oxford Mathematical Monographs, The Clarendon Press Oxford University Press, New York, 1995, With contributions by A. Zelevinsky, Oxford Science Publications.
- [Mas03] Gregor Masbaum, Skein-theoretical derivation of some formulas of Habiro, Algebr. Geom. Topol. **3** (2003), 537–556 (electronic).
- [Ore33] Oystein Ore, Theory of non-commutative polynomials, Ann. of Math. (2) **34** (1933), no. 3, 480–508.
- [Pet92] Marko Petkovšek, Hypergeometric solutions of linear recurrences with polynomial coefficients, Journal of Symbolic Computation 14 (1992), no. 2/3, 243–264.
- [Pet11] Kathleen L. Petersen, A-polynomials of a family of 2-bridge knots, 2011, Preprint.
- [PR97a] Peter Paule and Axel Riese, A Mathematica q-analogue of Zeilberger's algorithm based on an algebraically motivated approach to q-hypergeometric telescoping, Special functions, q-series and related topics (Toronto, ON, 1995), Fields Inst. Commun., vol. 14, Amer. Math. Soc., Providence, RI, 1997, pp. 179–210.
- [PR97b] Peter Paule and Axel Riese, A Mathematica q-analogue of Zeilberger's algorithm based on an algebraically motivated approach to q-hypergeometric telescoping, Special Functions, q-Series and Related Topics (Mourad E. H. Ismail, David R. Masson, and Mizan Rahman, eds.), Fields Institute Communications, vol. 14, American Mathematical Society, 1997, pp. 179–210.
- [PWZ96] Marko Petkovšek, Herbert S. Wilf, and Doron Zeilberger, A = B, A K Peters Ltd., Wellesley, MA, 1996, With a foreword by Donald E. Knuth, With a separately available computer disk.
- [Rol90] Dale Rolfsen, Knots and links, Mathematics Lecture Series, vol. 7, Publish or Perish Inc., Houston, TX, 1990, Corrected reprint of the 1976 original.
- [Sch89] Fritz Schwarz, A factorization algorithm for linear ordinary differential equations, ISSAC 1989— Proceedings of the 1989 International Symposium on Symbolic and Algebraic Computation, ACM, New York, 1989, pp. 17–25.
- [Ste05] John R. Stembridge, SF package, 2005, http://www.math.lsa.umich.edu/~jrs.
- [Tsa96] S. P. Tsarev, An algorithm for complete enumeration of all factorizations of a linear ordinary differential operator, ISSAC 1996—Proceedings of the 36th International Symposium on Symbolic and Algebraic Computation, ACM, New York, 1996, pp. 226–231.
- [Tur88] V. G. Turaev, The Yang-Baxter equation and invariants of links, Invent. Math. 92 (1988), no. 3, 527–553.
- [Tur94] \_\_\_\_\_, Quantum invariants of knots and 3-manifolds, de Gruyter Studies in Mathematics, vol. 18, Walter de Gruyter & Co., Berlin, 1994.
- [vdPS03] Marius van der Put and Michael F. Singer, Galois theory of linear differential equations, Grundlehren der Mathematischen Wissenschaften [Fundamental Principles of Mathematical Sciences], vol. 328, Springer-Verlag, Berlin, 2003.
- [vH97] Mark van Hoeij, Factorization of differential operators with rational functions coefficients, J. Symbolic Comput. 24 (1997), no. 5, 537–561.
- [WZ92] Herbert S. Wilf and Doron Zeilberger, An algorithmic proof theory for hypergeometric (ordinary and "q") multisum/integral identities, Inventiones Mathematicae 108 (1992), no. 1, 575–633.

- [Zei90a] Doron Zeiberger, A fast algorithm for proving terminating hypergeometric identities, Discrete Mathematics 80 (1990), no. 2, 207–211.
- [Zei90b] Doron Zeilberger, A holonomic systems approach to special functions identities, Journal of Computational and Applied Mathematics 32 (1990), no. 3, 321–368.
- [Zei91] \_\_\_\_\_, The method of creative telescoping, Journal of Symbolic Computation 11 (1991), 195–204.
- [Zie95] Günter M. Ziegler, *Lectures on polytopes*, Graduate Texts in Mathematics, vol. 152, Springer-Verlag, New York, 1995.

School of Mathematics, Georgia Institute of Technology, Atlanta, GA 30332-0160, USA http://www.math.gatech.edu/~stavros

 $E ext{-}mail\ address: stavros@math.gatech.edu}$ 

JOHANN RADON INSTITUTE FOR COMPUTATIONAL AND APPLIED MATHEMATICS (RICAM), AUSTRIAN ACADEMY OF SCIENCES, ALTENBERGER STRASSE 69, A-4040 LINZ, AUSTRIA http://www.risc.jku.at/home/ckoutsch

 $E ext{-}mail\ address: christoph.koutschan@ricam.oeaw.ac.at}$